Updated May 2025

How to Create an Appendix H and Attach to an IRB Protocol

This guide outlines the steps to create an Appendix H for studies involving the research use of radiation that must be submitted to the Joint Radiation Safety Committee (JRSC) for approval. The "<u>Guidelines for the Use of Radiation in</u> <u>Research Studies Involving Human Subjects</u>" is an important companion to this guide. Go to: (<u>https://research.columbia.edu/system/files/EHS/Policies/JRSCGuidelines.pdf</u>).

This guide does not address studies that must be submitted to the Radioactive Drug Research Committee (RDRC). For guidance on those studies, please see the "<u>Guidelines for Conducting Research Studies under the Auspices of The</u> <u>Columbia University Radioactive Drug Research Committee</u>." Go to: (https://research.columbia.edu/system/files/EHS/Policies/RDRCGuidelines.pdf).

- 1. Go to RASCAL (<u>www.rascal.columbia.edu</u>) and login with your UNI and password.
- 2. Select HazMats from the top menu bar.

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3. To start a new Appendix H, click on "Human Subjects - JRSC Application"

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[RASCAL Menu]	Researcher Profile
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	Hepatitis B Vaccine Notification Form
	Human Subjects - JRSC Application
	Human Subjects - RDRC Application
	Retrieve an Appendix AP ~Select~ XXXX0000 Retrieve
	Search for an Appendix
	<u>Reports</u>
	<u>Notification Queue</u>
	Edit Personal Information
	<u>Safety Officer Approval Queue</u>
	Radiation Safety - Preliminary Review Queue
	Radiation Safety - Assign Primary Reviewer Queue
	Radiation Safety - Approval Queue
	Radiation Safety - Committee Queue
	IRB Human Materials Attestation Search

<u>**Tips</u>**: There are multiple sections to this Appendix. Fill out in order and always save when section is completed. Each page must be filled in its entirety to save. If cannot fill out Appendix H in one session, fill out remaining section you are working on, and return back to the Appendix later to complete the remaining sections.</u>

- 4. Fill out the "Study Information" (Section I):
 - Add IRB Protocol number before the study title
 - If updating a previously approved Appendix, be sure to check the box and include the reference to the previous Appendix
 - The following changes require a modification submission:
 - Change in number of subjects
 - Change in study population
 - Change in type, number and/or frequency of radiographic or nuclear medicine studies
 - Change in Principal Investigator
 - Change in Clinical Authorized User (if applicable)
 - Change in Physician Liaison (if applicable)

<u>**Tips</u>**: To submit a modification go to the previously approved Appendix, click on "Copy Appendix". A new Appendix H with a new number will be created with all the information prepopulated from the previously approved one.</u>

- Complete the information in each section
- Click Save
- Criteria for Administrative Review go to: (<u>https://research.columbia.edu/sites/default/files/content/EHS/Rad%20Safety/CriteriaForAdministrativ</u> <u>eReview.pdf</u>)

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Logout Help Human Subjects	Animal Care Proposal Tracking Consent Forms HIPAA Forms Haz Mats Administration Training Center Conflict of Interest My Rascal
Please save the study information to continue your appendix. APH-AAAA00000 Haz Mats [RASCAL Menu]	Is this application a modification of an existing application? Was the previous submission submitted to the JRSC in paper? What appendix number? aaaa0000 Explain the reason for the modification.
[Hazardous Materials Menu]	SAMPLE
	Study Title
	SAMPLE
	Brief Description of Intent of Study @
	SAMPLE
	Description of Proposed Use of Ionizing Radiation and Justification for Such Use 😮
	SAMPLE
	Save
	servlet/edu.columbia.rascal.presentation.user.servlets.CUInfoMainServlet

- 5. Fill out "Personnel" (Section II).
 - Principal Investigator: required for all studies
 - Clinical Authorized User: required if radiopharmaceuticals are included in the study
 - Physician Liaison: required if the Principal Investigator is not a Physician
 - Click Save

6. Complete the remaining sections (Section III through IX), as described below:

Columbia Dose Calculator

Whenever possible, it is recommended to use the Columbia Dose Calculator <u>http://radio-</u> <u>sea.cumc.columbia.edu:5025/#/</u> to provide the dosimetry information for the Appendix. The Calculator will output a PDF with all of the information needed for the entries listed below, which can then be attached to the Appendix. (At present, the Calculator can only be accessed while on campus or via VPN connection).

Dose calculations

If assistance is needed to fill out the dosimetry tables, forward a request via email to <u>jrsc@columbia.edu</u> for submission of a JRSC Application (Appendix H) or <u>rdrc@columbia.edu</u> for submission of a RDRC Application (Appendix H) along with a copy of the Sponsors Protocol and a brief explanation of what the study involves.

Appendix APH-AABG6450 Created On 11/12/2019 15:48:23 Created By Wette Acevedo (yc21)
Created On 11/12/2019 15:48:23
Created By Yvette Acevedo (yc21)
You are Vvette Acevedo (yc21)
✓ General Instructions
For assistance in filling out this Application, please see Guidelines for the Use of Radiation in Research Studies Involving Human Subjects (the URSC Guidelines') and Guidelines for Conducting Research Studies Unkert he Auspices of the Columbia University Radioactive Drug Research Committee (the 'RDRC Guidelines').
Both Guidelines can be found at http://www.ehs.columbia.edu (RadiationFormsMC html @.
If the Protocol relating to this study will be reviewed by the Columbia
University IRB, this Application should be submitted as an attachment to the Protocol. If the Protocol will be reviewed by the New
York State Psychiatric Institute ("NYSPI") IRB, it should be attached to this Application under Attachments below.
-

III. Radiopharmaceutical Information:

Complete this section only if your study uses radiopharmaceuticals for research. Do not include procedures ordered as routine standard of care. However, standard of care procedures that would not have been ordered except as a requirement of the research protocol should be included.

In the table, you should identify for each radiopharmaceutical used in the study, the name of the pharmaceutical, the radionuclide (e.g., F-18, Tc-99m, etc.) and the activity to be administered *per procedure* to the subject.

If the radiopharmaceutical is used for a therapeutic nuclear medicine procedure, mark the "Therapeutic Procedure" check-box when entering the information.

Logout Help Human Subjects	Animal Care Proposal Tracking Consent Forms HIPAA	Forms Haz Mats Administration Training Center Conflict of Interest My Rascal			
APH-AABG9451 Not Submitted Creating	^	III. Radiopharmaceutical			
Appendix Content		Appendix Created On	APH-AABG9451 12/10/2019 20:18:10		
Appendix Content		Created By	Yvette Acevedo (yc21)		
I. Study Information		You are	Yvette Acevedo (yc21)		
II. Personnel					
III. Radiopharmaceutical Information		Add Radiopharmaceutical	ø <u>₽</u>		
IV. Diagnostic/Interventional	Radiopharmaceutical				
Imaging	Radiopharmaceutical		Activity to be administered (mCi)	Modify	Delete
V. Therapeutic Radiation			•		
VI.Dosimetry					
VII.Dosimetry Summaries					
VIII.Subjects					
IX.Documents					
X Protocol					

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IV. Diagnostic/Interventional Imaging V. Therapeutic Radiation VI.Dosimetry VII.Dosimetry Summaries	Therapeutic Procedure Save Close	For assistance in filling out the radiation dose estimates for human research protocols, please access the Columbia Radiation Dose Calculator at http://radio-sea.cumc.columbia.edu:5025/#/_&P (Accessible only at CUIMC or VPN).

IV. Diagnostic/Interventional Imaging Procedures:

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Complete this section for each procedure to be used, if your study uses radiation producing equipment for diagnostic or interventional imaging or image-guided biopsy procedures in research (i.e., radiography, fluoroscopy, CT, Bone Densitometry (DXA), CT Biopsy, etc.). You should include only uses that go beyond that established for the applicable standard of care.

For each procedure, enter the Effective Dose per Administration (from the Dose Calculator or other reference), the total number of administrations of that type in the study, and include the reference for the dose information (if the Columbia Dose Calculator is used, you may simply list "Columbia Dose Calculator")



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APH-AABG9451 Not Submitted Creating	^		IV. Diagnostic/Interventio	onal Imaging Proced	ures			
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II. Personnel								
III. Radiopharmaceutical Information		Studies Using Ra	diation Producing Equipment for Diagnostic or Inter Add Proced		ded Biopsy Procedures in Research			
IV. Diagnostic/Interventional Imaging	Procedures						1	1
V. Therapeutic Radiation	Procedure Type	✓ Location	Effective Dose per Administration (mSv)	Total No. of Administrations per Study/Protocol	Total (Whole Body) Effective Dose per Study/Protocol (mSv)	Reference Dose provided	Modify	Delete
VI.Dosimetry						•		
VII.Dosimetry Summaries								
VIII.Subjects								
IX.Documents								
X.Protocol								

V. Therapeutic Radiation Procedures:

VIII.Subjects IX.Documents X.Protocol

Complete this section for each procedure to be used, if your study uses radiation producing equipment for therapeutic procedures in research (i.e., EBRT, HDRR brachytherapy, proton beam therapy, etc.). You should include only uses that go beyond that established for the applicable standard of care.



VI. Dosimetry:

This section records the organ doses and the effective dose for radiopharmaceuticals and/or therapeutic radiation (for diagnostic and interventional imaging, the necessary dose is recorded in Section IV).



For each radiopharmaceutical, the organ dose table must be completed. At a minimum, the dose to Active Bloodforming Organs (red marrow), the Gonads, and the Critical Organ (organ or tissue receiving the highest dose) must be included (additional organ doses can be optionally recorded). The Whole Body (effective) dose must also be entered.

For therapeutic radiation procedures, the appropriate organ doses should be added to the dose table.

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Appendix Content				Appendix APH-A Created On 08/13/	2020 09:50:22				
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II. Personnel									
III. Radiopharmaceutical Information				dfd (Radiopharmaceutical)	•				
IV. Diagnostic/Interventional Imaging									
V. Therapeutic Radiation	- dfd (Radiopharmaceutical)								
VI.Dosimetry	Add Organ/Dose Information @ 🕖							Ţ	Not Valid 💡
VII.Dosimetry Summaries	Organ/Tissue	Critical Organ?	Absorbed Dose per Administration (mGy)	Total No. of Administrations per Study/Protocol	Total Absorbed Dose for Study/Protocol (mGy)	Total Effective Dose Per Study/Protocol (mSv)	Dose Ref. Provided	Modify	Delete
VIII.Subjects	Active Blood-forming Organs (red marrow) *	۲					•	0	N/A
IX.Documents	Gonads *	۲					•	0	N/A
X.Protocol	Whole Body *	•					•	0	N/A
Appendix Actions	* - Invalid dose information for this organ typ Back to summary	e.							

VII. Dosimetry Summaries:

This section will provide the summation of the doses recorded in the previous sections. Confirm that dose totals are correct.

You should add a description of any possible injury to the subject from the sum of the doses from the study procedures <u>and</u> the doses from any clinical standard of care used in conjunction with such procedures.



Finally, either confirm that, to the best of your knowledge, no subject in the study has participated and/or will participate in additional research studies involving ionizing radiation during the 12-month period, or add the information for any study in which subjects may be co-enrolled:

Study Name or Procedure:



IRB Protocol Number (if available):

XXXXXXX

Total Effective Dose from Prior or Future Research Studies within 12 Months (mSv):

XXXXX

•Save_•Close

VIII. Human Subjects:

Provide the demographics of the study subjects and target enrollment for the study.

- 1. **Minor Subjects**: Subjects should be at least 18 years of age and legally competent unless there is sufficient justification for the use of minors in the study. All protocols that involve Minors go to a Full Committee Meeting whether a New Submission or a Modification.
- 2. Non-pregnant status methodology: The absence of pregnancy should be confirmed by a urine or other pregnancy test prior to any use of radiation or radioactive materials.

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I. Study Information			Created By You are		Yvette Acevedo (yc21) Yvette Acevedo (yc21)
II. Personnel			Adult Subjects	Minor Subjects 🥹	
III. Radiopharmaceutical		Male			
Information		Female	0		
IV. Diagnostic/Interventional		Total Minimum Age	19	0	
Imaging		Maximum Age		17	
V. Therapeutic Radiation			t status methodology 🥹		
VI.Dosimetry					
VII.Dosimetry Summaries					
VIII.Subjects					
IX.Documents			Save		

IX. Documents: You should attach the following documents to this Application:

- All reference documents listed in the tables and/or the output from the Columbia Dose Calculator
- Sponsor protocol, informed consent form and assent form (if applicable)
- Email correspondence (if any) between the Principal Investigator, Study Coordinator and JRSC/RDRC reviewer
- Written confirmation by the Principal Investigator if procedure is Standard of Care (if applicable)
- Principal Investigator's CV
- Clinical Authorized User's CV (if applicable). NOTE: HUS-JRSC requirements, for those studies, which involve use
 of otherwise clinically approved radiopharmaceuticals/methods, there is <u>no need</u> to include a Clinical
 Authorized User (CAU).



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II. Personnel III. Radiopharmaceutical Information					Add Attachment 谢 🌘				
mornation		Attached Files							
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IV. Diagnostic/Interventional Imaging		View Document	File Name	Attachment Type	Content Type	Date Attached	Attached By	Modify	Delete
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Imaging		View Document	File Name	Attachment Type	✓ Content Type	Date Attached	Attached By	Modify	Delete
Imaging V. Therapeutic Radiation		View Document	File Name	Attachment Type	✓ Content Type	Date Attached	Attached By	Modify	Delete
Imaging V. Therapeutic Radiation VI.Dosimetry		View Document	File Name	Attachment Type	✓ Content Type	Date Attached	Attached By	Modify	Delete

X. Protocol: Click Notify Approver. Click "Submit". Navigate to your IRB Protocol to attach the Appendix H. (In order for the JRSC review process to begin submit the IRB Protocol)



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